



# Corporate Action Workflow Management

FIDELITY  
CORPORATE  
ACTIONS  
SOLUTIONS

**“The Eagle / Fidelity strategic alliance gave us confidence that we would be able to truly solve our voluntary and mandatory corporate action workflow problems, now and for the future.”**

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## ABOUT FIDELITY CORPORATE ACTIONS SOLUTIONS

Fidelity Corporate Actions Solutions (FCAS) is a trusted source for global corporate actions data and workflow tools that streamline activities across the lifecycle of an event and give clients the confidence they need to effectively process this time-sensitive information. FCAS is a Fidelity Investments company focused on quality, service excellence, and continual investment to meet the evolving needs of clients around the globe. FCAS is also a service provider to many business units within Fidelity.

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AN EAGLE STRATEGIC ALLIANCE

## Apply a truly innovative, end-to-end solution to your corporate action processing.

Aligning the expertise of two of the world’s leading financial technology firms, Eagle Investment Systems and Fidelity Corporate Actions Solutions (FCAS) have innovated an end-to-end, exception-based corporate action workflow solution. Delivering each provider’s best-of-breed technology and applying recognized industry best practices, the Eagle + FCAS solution automates the vital workflow functionalities required *across the full corporate action lifecycle.*

### EAGLE + FCAS SOLUTION DELIVERS:

- Automated processing. Exception-based, event-level workflow seamlessly processes mandatory and voluntary corporate action entitlements.
- Processing across the full corporate action lifecycle. End-to-end workflow extends from event notification to investment manager decision to accounting transaction processing.
- Connectivity to custodian community. Use of the Fidelity SWIFT network empowers more timely and accurate data delivery to front office for notification and election management straight through to downstream systems.
- Automated information transfer. Processing time is reduced, and investment managers receive timely and accurate corporate action details to better facilitate their investment decisions.
- High Efficiency. Exception-based, straight-through processing saves time and streamlines workflow.
- Greater accuracy. Exception-based processing reduces or eliminates manual intervention for corporate action election activities.
- Compliance and risk management. Full automation and seamless connectivity to custodians assures accurate and timely processing of elections. Plus, the Eagle + FCAS solution maintains compliance with current ISO messaging standards.
- Scalability. The Eagle + FCAS solution is configured to support all current and future investment vehicles.

### SUPPORTING YOUR INVESTMENT IN EAGLE

Your firm benefits from the Eagle and FCAS alliance through the continued collaboration and investment that both firms make in simplifying the implementation, workflow, and ongoing support of the solution.

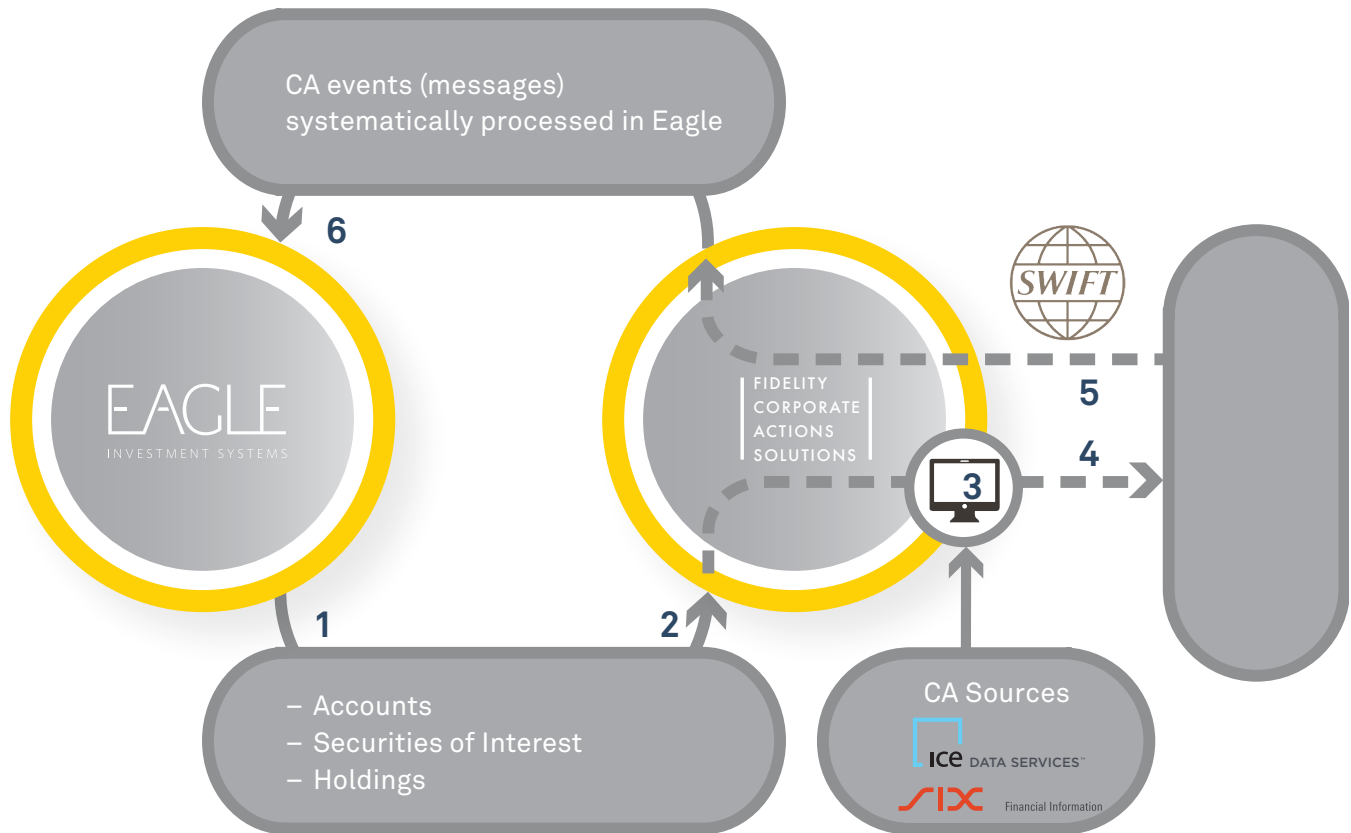


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## Inside the solution: The best-of-breed automated workflow for corporate action processing

The Eagle/FCAS solution leverages leading technology and recognized expertise, streamlining corporate action processing to an unprecedented degree. Here's an overview of the workflow process:



**1.** An investment firm houses its data related to Accounts, Securities of Interest (SOI) and Holdings on Eagle. Eagle transfers this data to FCAS.

**2.** Corporate Action (CA) data originates from multiple sources. FCAS's enriched CA data can be included as a source. FCAS is configured to seamlessly receive and process this data as it comes in. FCAS also creates and maintains a golden copy of CA data.

**3.** FCAS dashboard identifies mandatory and voluntary CA events for users (such as investment managers). Users access the dashboard to make voluntary elections.

**4.** When elections are made, FCAS routes them to custodian(s) via the fast and secure SWIFT network or email/fax if required. Custodians then act on the instructions they receive.

**5.** Custodians communicate confirmation of all elections to FCAS, again via the SWIFT network.

**6.** Finally, FCAS routes confirmed election data to Eagle, which systematically processes any CA entitlements.

### WE ARE EAGLE INVESTMENT SYSTEMS

Eagle Investment Systems LLC enables financial institutions to grow their assets by creating operational efficiencies and delivering award-winning solutions for data management, investment accounting, and performance measurement and attribution, supported by an unparalleled level of service. Eagle deploys its solutions via a secure private cloud, Eagle ACCESS<sup>SM</sup>, which hosts and provides on-going support of the applications and systems infrastructure, reducing complexity and risk. Eagle Investment Systems LLC is a subsidiary of BNY Mellon.

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**BNY MELLON**

**EAGLE**  
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### CONTACT US:

[EAGLEINVSYS.COM](http://EAGLEINVSYS.COM)

[SALES@EAGLEINVSYS.COM](mailto:SALES@EAGLEINVSYS.COM)

EMEA +44 (0)20 7163 4132

Americas +1 781 943 2200

Asia Pacific +65 6432 0581

Australia +61 (0) 2 9260 6060

Mainland China +86 10 5877 1605